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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No.	Filed:	Inventor(s):	Atty. Dkt.:
09/574,294	05/19/2000	Stephen G. Bodurtha, et al.	0326-136A [009974-5045]
Title: SECURITY RECEIPTS MANAGEMENT SYSTEM			
Examiner: Snapp, Sandra S.			Art Unit: 3624

Commissioner of Patents and Trademarks
Washington, D.C. 20231-0001

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FEB 14 2003
GROUP 3600

AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action, dated September 5, 2002, entry and consideration of the following amendments and remarks is respectfully requested.

In the Claims

Please amend claims 1-4, 7-8, and 10-16 as follows:

1. (Amended) A system for managing one or more Security Receipts, each said Security Receipt evidencing a beneficial interest in [one or more] underlying securities, the system comprising:
- a Trustee holding the underlying securities;
 - a computer system with associated memory for storing data relating to the underlying securities and the Security Receipts;
 - means for generating Security Receipts having said beneficial interest, based on said underlying securities; and

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02 FC:1201 84.00 CH

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